



SEISMIC DESIGN OF SR 99 TUNNEL IN WASHINGTON STATE

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The Third International Bridge Seismic Workshop

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International Association
Bridge Earthquake Engineering

HNTB

Presentation Outline

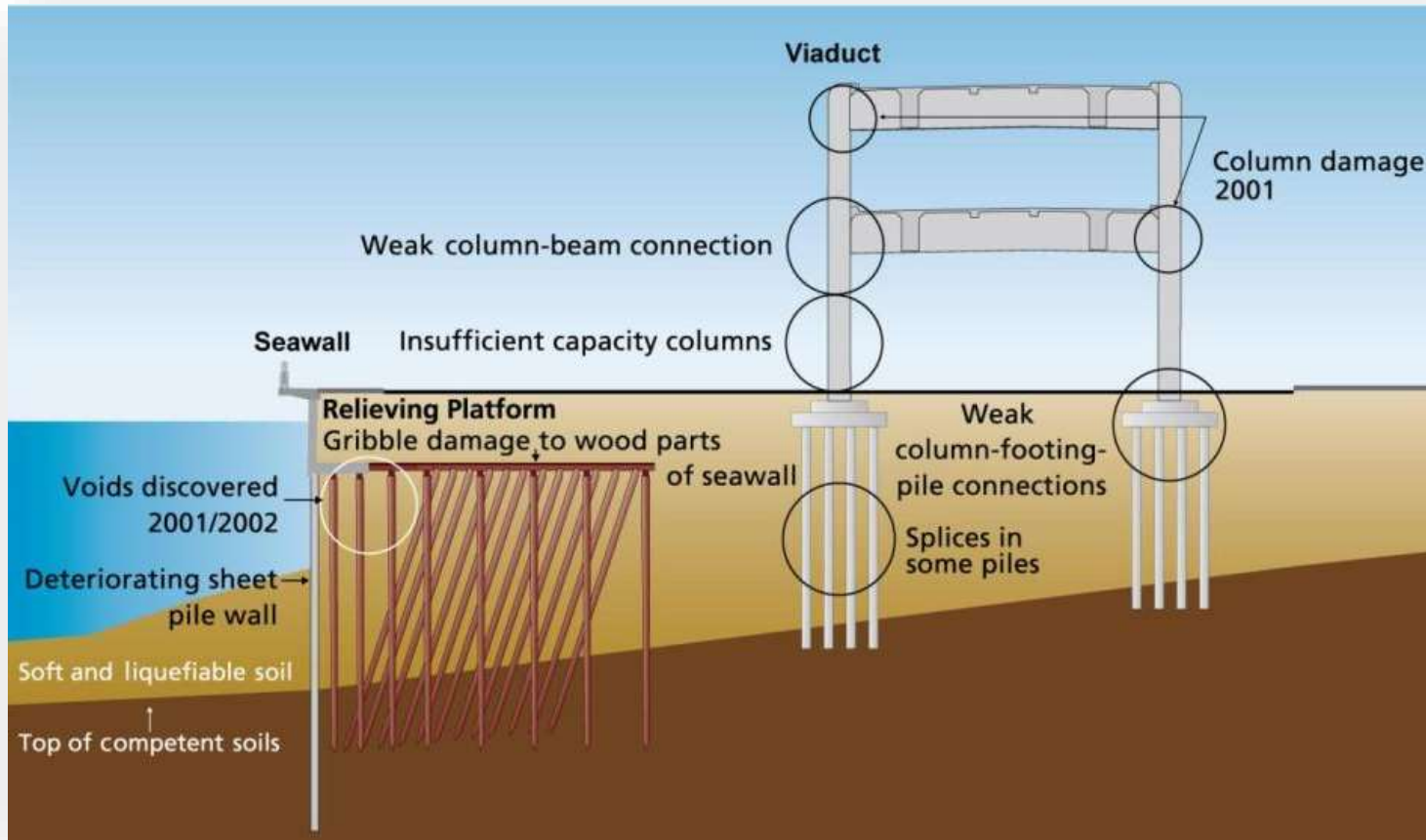
1. Project purpose
2. Tunnel configuration
3. Seismic performance design criteria
4. Basics of tunnel seismic response
5. Seismic design of SR 99 Tunnel
6. Concluding remarks

Purpose – Replacing Alaskan Way Viaduct in Seattle



Alaskan Way Viaduct (Looking North)

Replacing Alaskan Way Viaduct - A Safety Project



The viaduct and neighboring seawall are vulnerable to earthquakes

The viaduct

Similarities with Cypress Street Viaduct in Oakland, California



Cypress Street Viaduct in Oakland, CA



Alaskan Way Viaduct in Seattle, WA

Collapsed Cypress Street Viaduct



After Loma Prieta earthquake on
October 17, 1989



Site visit on October 19, 1989

SR 99 Tunnel in Seattle



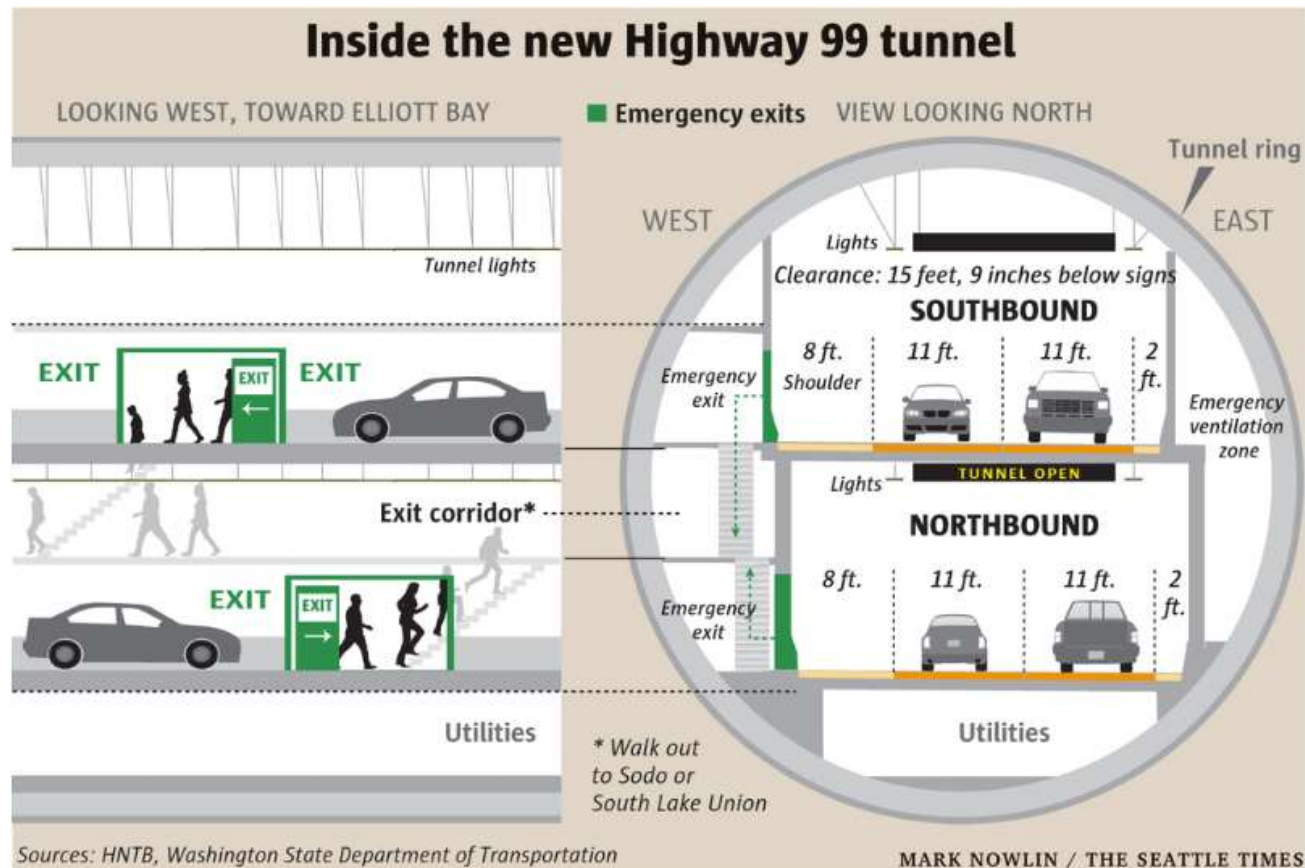
Major Components:

- 1250 ft south approach
- 9270 ft bored tunnel
- 450 ft north approach
- 2 operations buildings

Challenges:

- Buoyancy resistance at the south end
- Tunnel deformation mitigation
- AWW remains open during construction
- Water tightness during seismic events

SR 99 Tunnel Configuration



Excavation diameter	57'- 4"
Internal diameter	52'
Exterior diameter	56'
Segment thickness	2'
Grouting thickness	8"
Average ring length	6'- 6"
Number of segments	7+2+1
Tunnel length	9270'

Seismic Hazards in Seattle



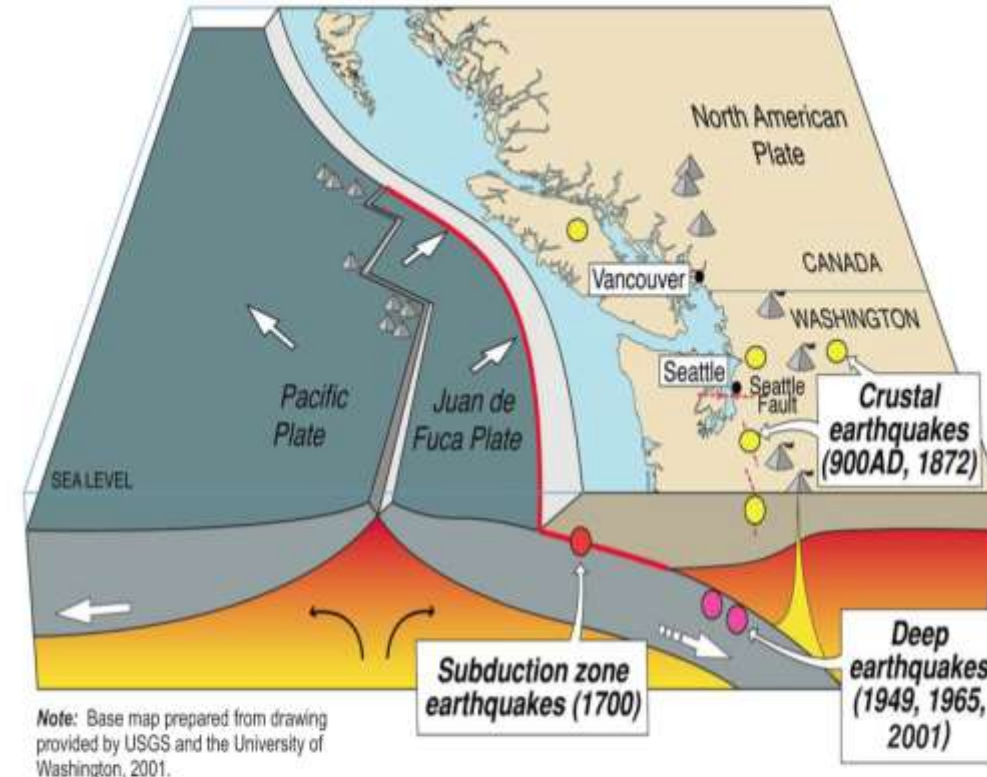
Magnitude 7.1
Olympia
Earthquake, 1949



Magnitude 6.5
Seattle-Tacoma
Earthquake, 1965



Magnitude 6.8
Nisqually
Earthquake, 2001



Source	Maximum Magnitude
Cascadia Subduction Zone - Interplate	9.0
Cascadia Subduction Zone - Intraplate	7.5
Crustal Faults	7.5

Seismic Design Criteria

Performance Objective

Expected Earthquake (108-Year Return)



Operational

- Minimal damage to liner, interior structures, and joints
- Minimal disruption to service
- Maintain water tightness
- Concrete strain < 0.003 ; Steel strain < 0.002

Rare Earthquake (2500-Year Return)



Life safety

- No collapse
- Allow significant damage
- Allow significant disruption to service
- Water tightness shall allow for evacuation
- Concrete strain < 0.005
- Steel strain < 0.006 for rebar up to #10, 0.045 for #11 and larger

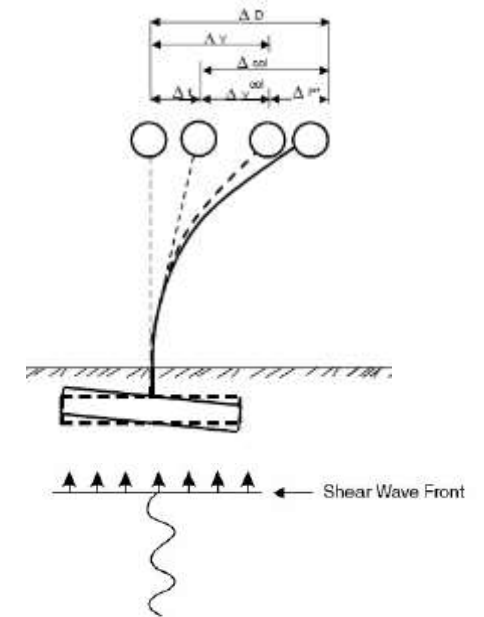
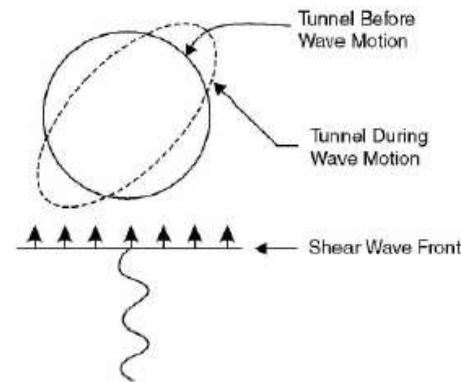
Seismic Performance of Underground Structures

Date	Earthquake Location	Magnitude*	Earthquake Tunnel Damage Assessment
11/23/80	Campania-Basilicata, Italy	7.0 (M_s)	No damage
9/19/85	Michoacan, Mexico	8.1	No damage to Underground Metro in Mexico City
10/17/89	Loma Prieta, CA	6.9	No reports of damage to tunnels
4/25/92	Petrolia, CA	7.2	No PCTL tunnels existed in area, other tunnels were damaged
7/12/93	Hokkaido, Japan	7.7	No reports of damage to tunnels†
1/17/94	Northridge, CA	6.7	No damage to PCTL tunnels
1/17/95	Kobe, Japan	6.9	No reports of damage to PCTL tunnels, other tunnels were damaged
8/17/99	Izmit (Kocaeli), Turkey	7.4	No reports of damage to tunnels
9/7/99	Athens, Greece	5.9	No reports of damage to tunnels
9/21/99	Chi Chi, Taiwan	7.5	No reports of damage to PCTL tunnels, other tunnels were damaged
2/28/01	Nisqually, Washington, USA	6.8	No reports of damage to tunnels
10/23/04	Niigata Prefecture, Japan	6.6	Several tunnels were damaged, final report not available§

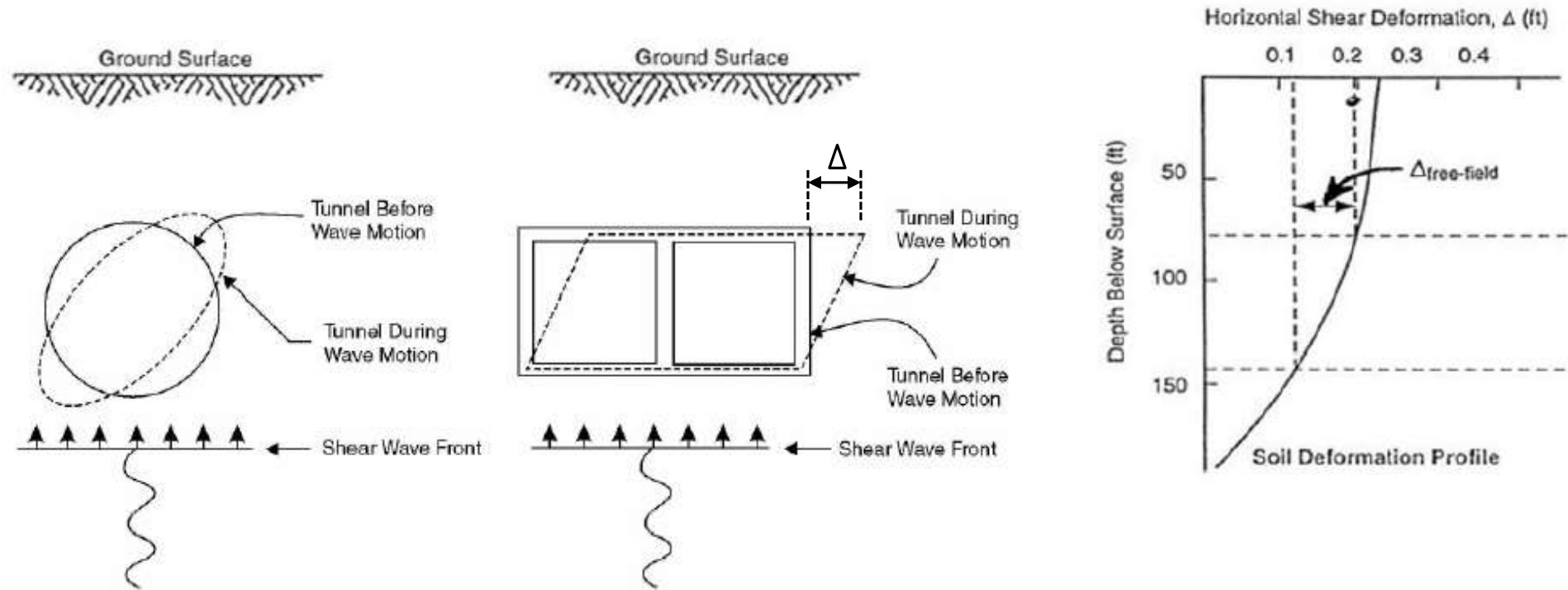
* Magnitudes are M_w = moment magnitude, unless otherwise noted.



vs.



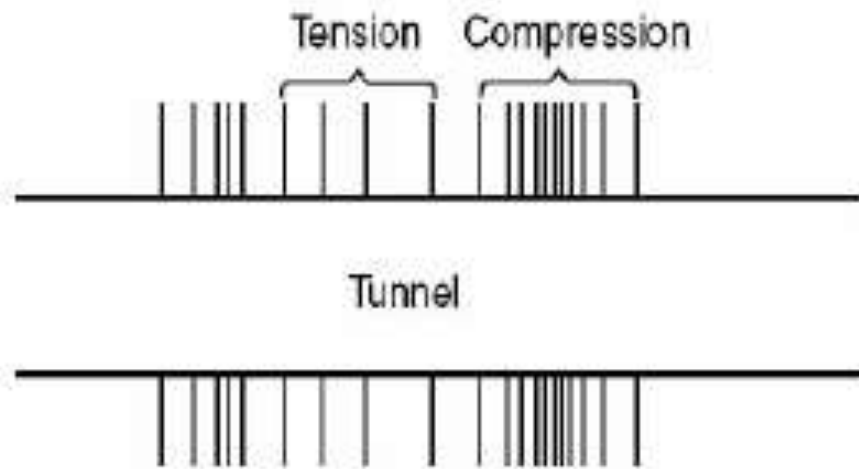
Tunnel Transverse Seismic Responses



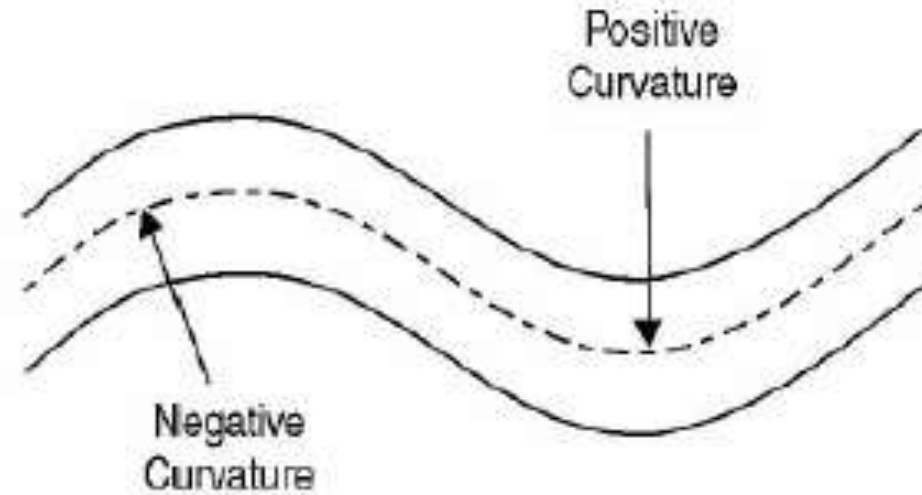
Tunnel Transverse Seismic Response (Ovaling or Racking)

Sources: FHWA-NHI-09-010 Road Tunnel Manual (2009)

Tunnel Longitudinal Seismic Responses



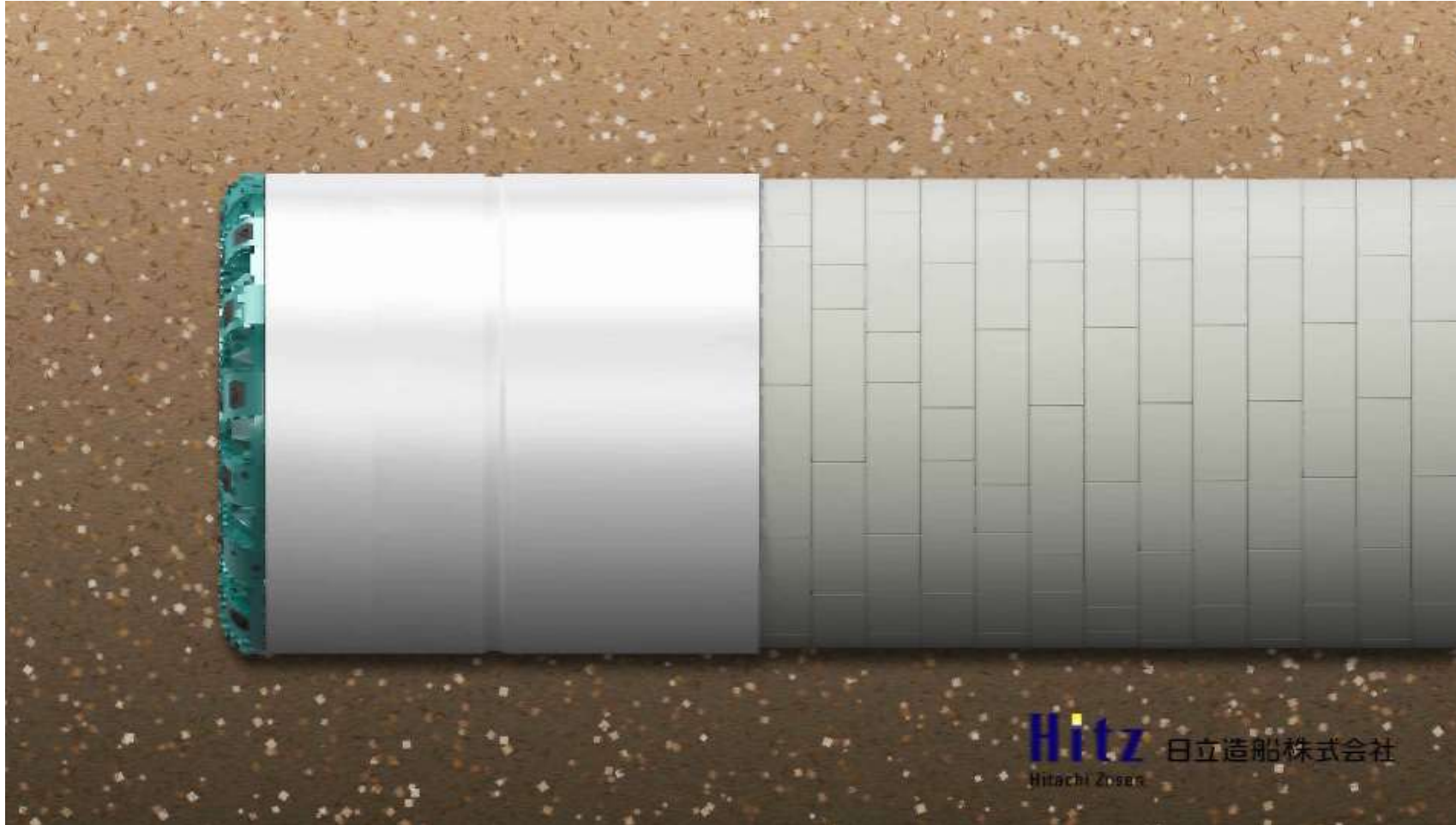
Axial deformation along tunnel



Curvature (bending) deformation along tunnel

Sources: FHWA-NHI-09-010 Road Tunnel Manual (2009)

Tunnel Boring Machine – Earth Pressure Balanced Machine

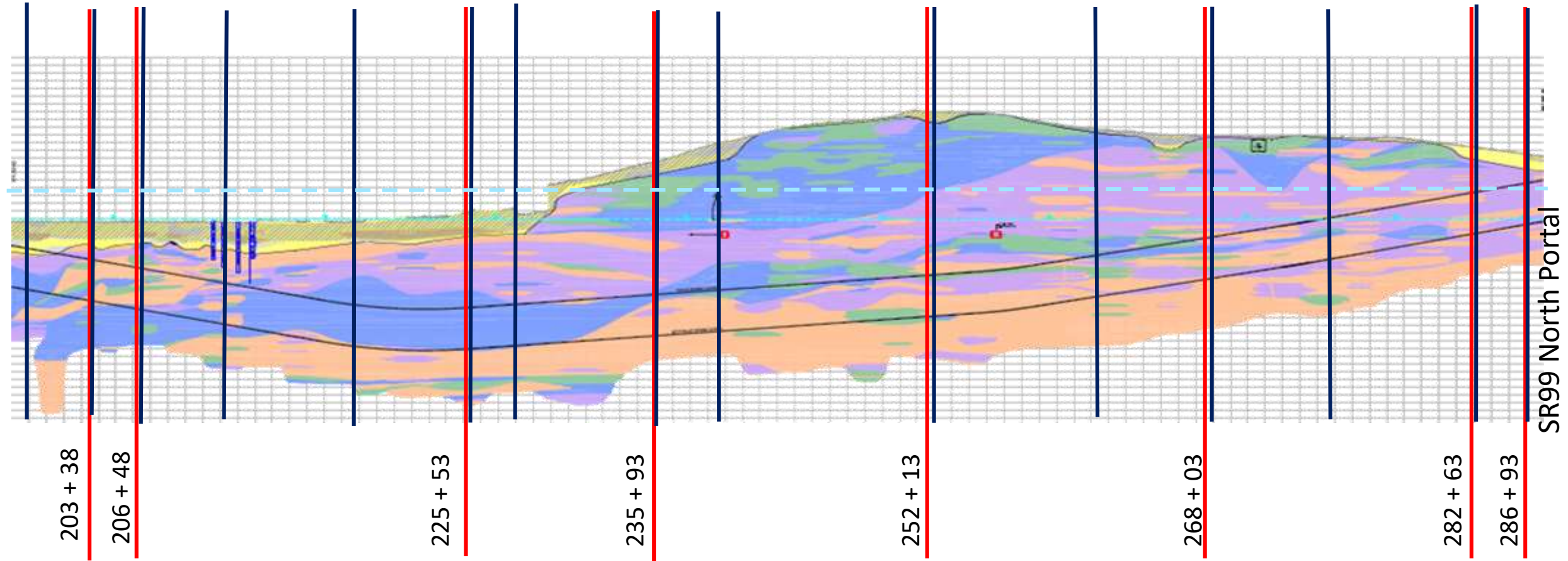


Tunnel Construction – Liner Ring



Mockup Ring Assembly

Transverse Design Sections

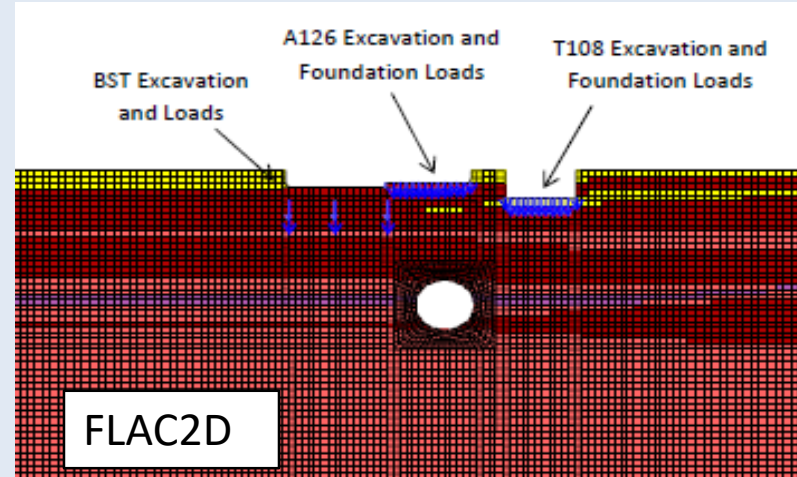


15 static design sections

8 seismic design sections

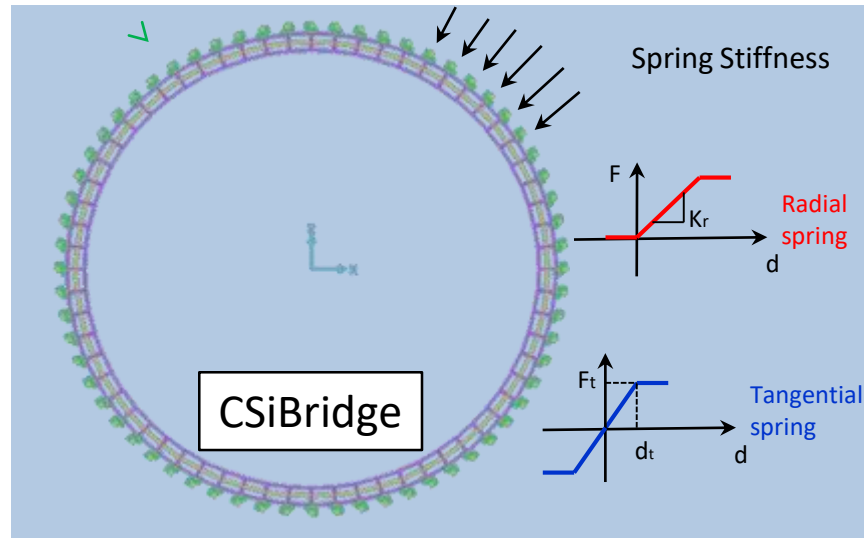
Transverse Design – Static Analysis – A Two-Step Approach

Step 1 Geotechnical Modeling



1. 2D continuum model
2. Geotechnical modeling
3. Soil and hydrostatic loads
4. Static SSI springs

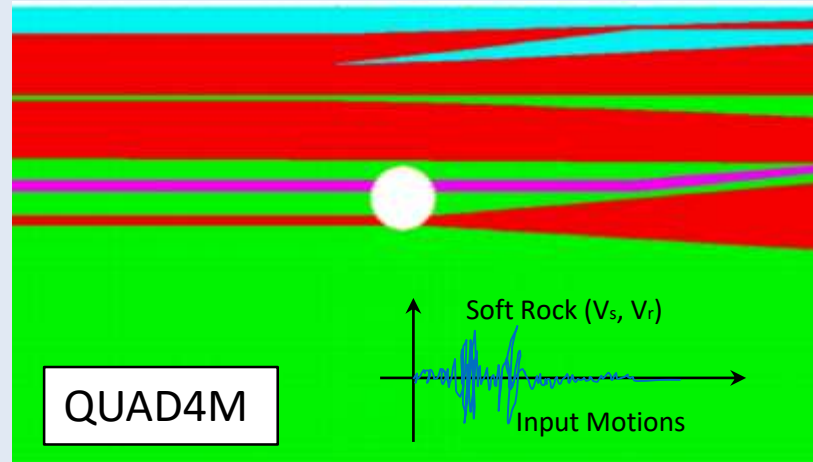
Step 2 Structural Modeling



1. 2D beam on spring model
2. Static analysis
3. LRFD load combinations
4. Structural design

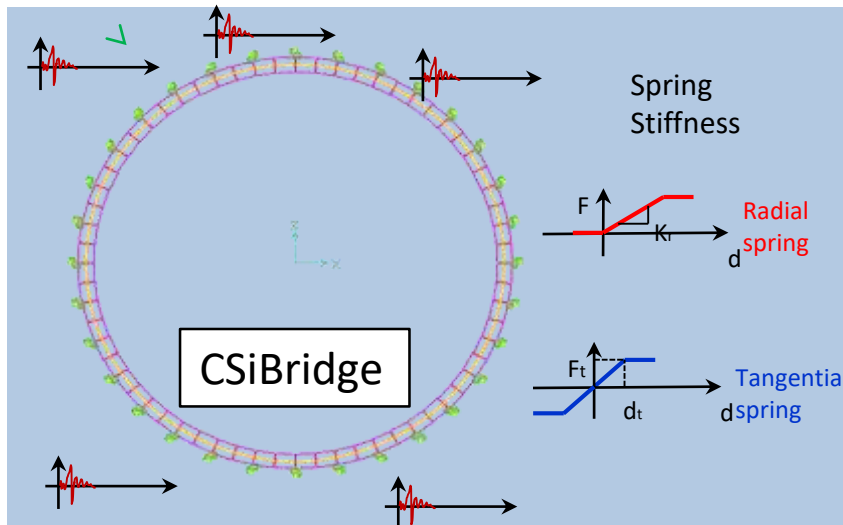
Transverse Design – Seismic Analysis – A Two-Step Approach

Step 1 Geotechnical Modeling



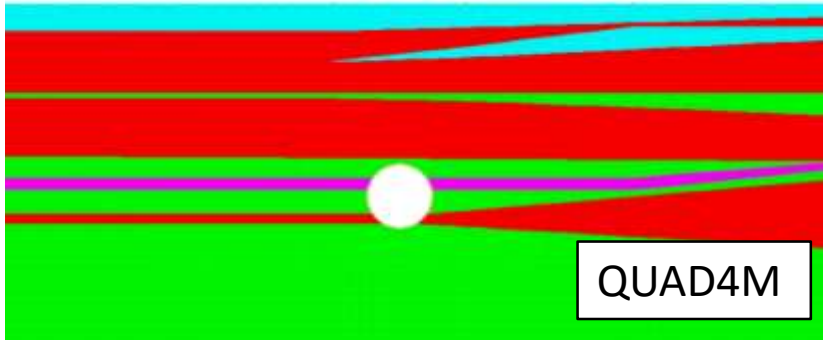
1. 2D continuum model
2. Displacement time histories
3. Dynamic SSI springs

Step 2 Structural Modeling

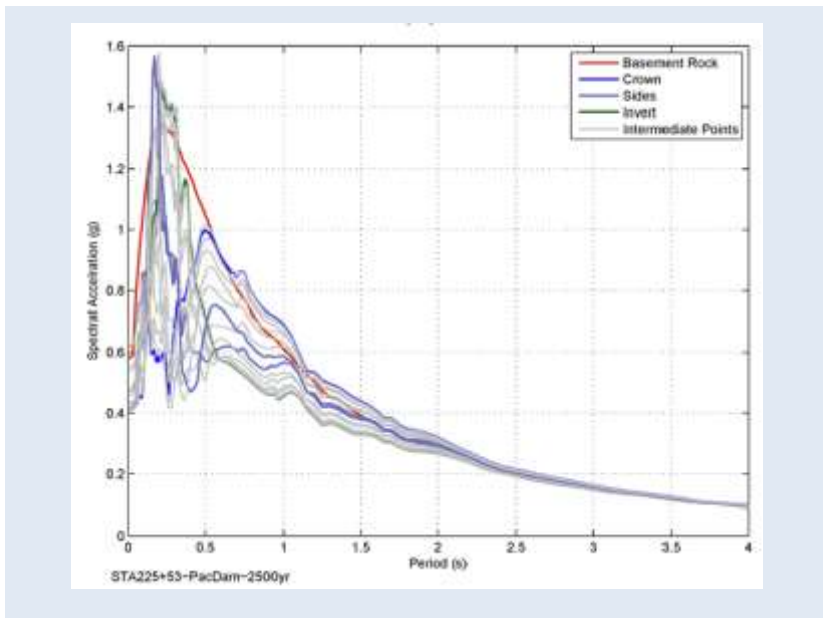


1. 2D beam on spring model
2. Dynamic time history analysis
3. Deformed shapes during seismic events

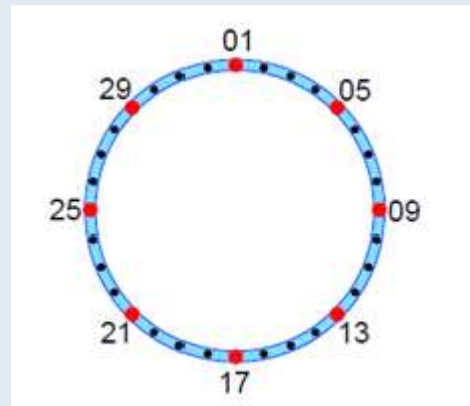
Geotechnical Modeling - 2D Wave Scattering Analysis



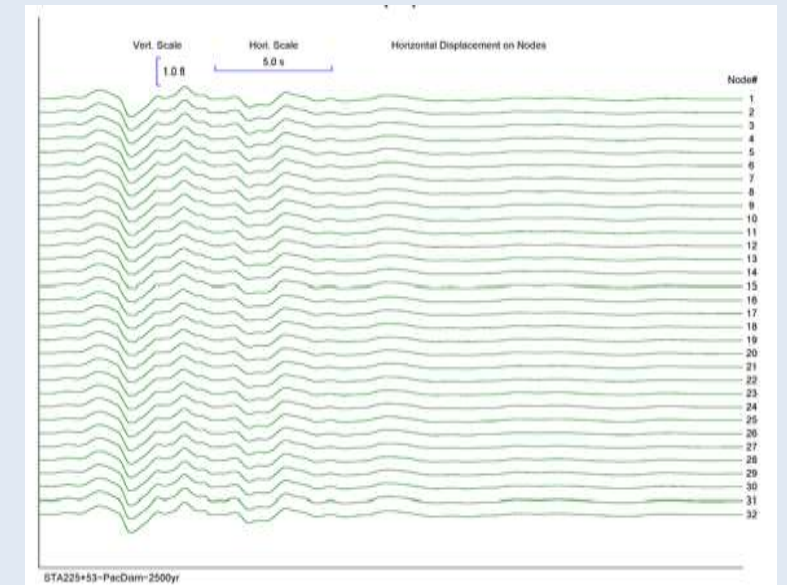
1. Strain-dependency properties (G/G_{\max} , damping ratio)
2. Transmitting boundaries to mimic the infinite soil media
3. Generate free-field ground deformations at nodes surrounding the ring



Spectral acceleration of soil nodes

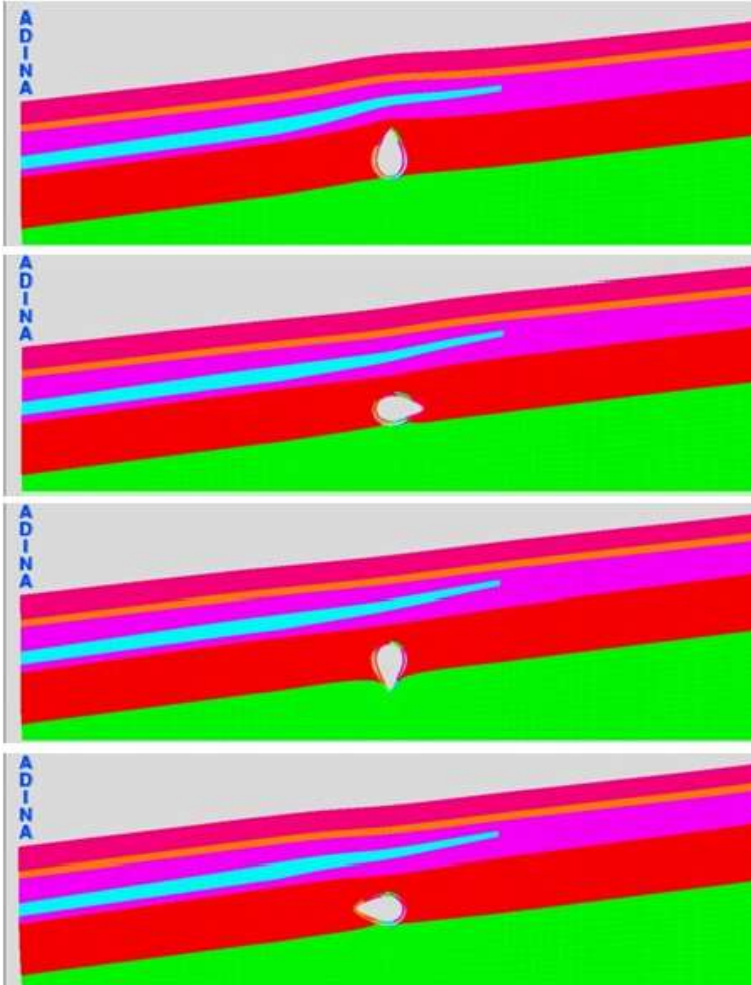


Liner node numbering



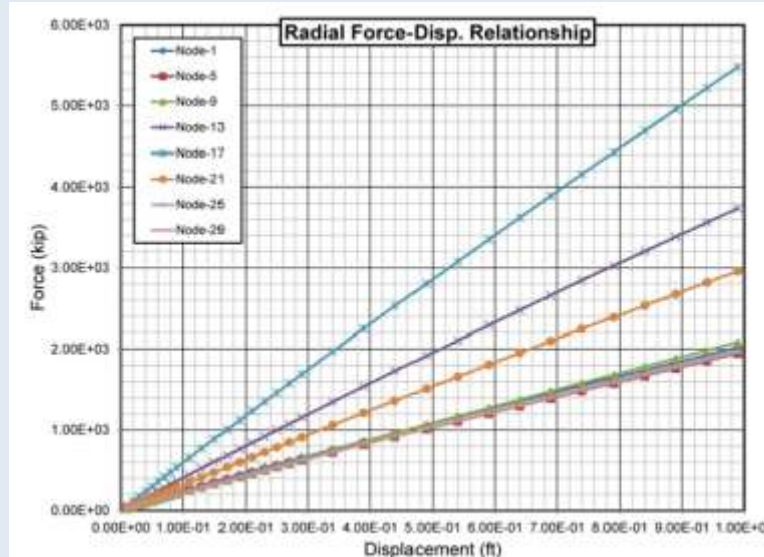
Horizontal displacement Histories
of Soil Nodes

Geotechnical Modeling – Development of Spring Supports



Magnified deformed shapes at end of push-over analysis (Nodes 1, 19, 25)

1. Displacement-controlled push-over analysis for radial springs
2. Contact surfaces between liner and soil elements to allow slippage and separation
3. Tangential springs calculated based on maximum shear force between the liner and soils



Force-displacement relationship for radial springs

For cohesive soil

$$F_{max} = \alpha C$$

$$\begin{cases} \alpha = 0.5\psi^{-0.50} & \psi \leq 1.0 \\ \alpha = 0.5\psi^{-0.25} & \psi > 1.0 \end{cases}$$

$$\psi = C_i / P_0$$

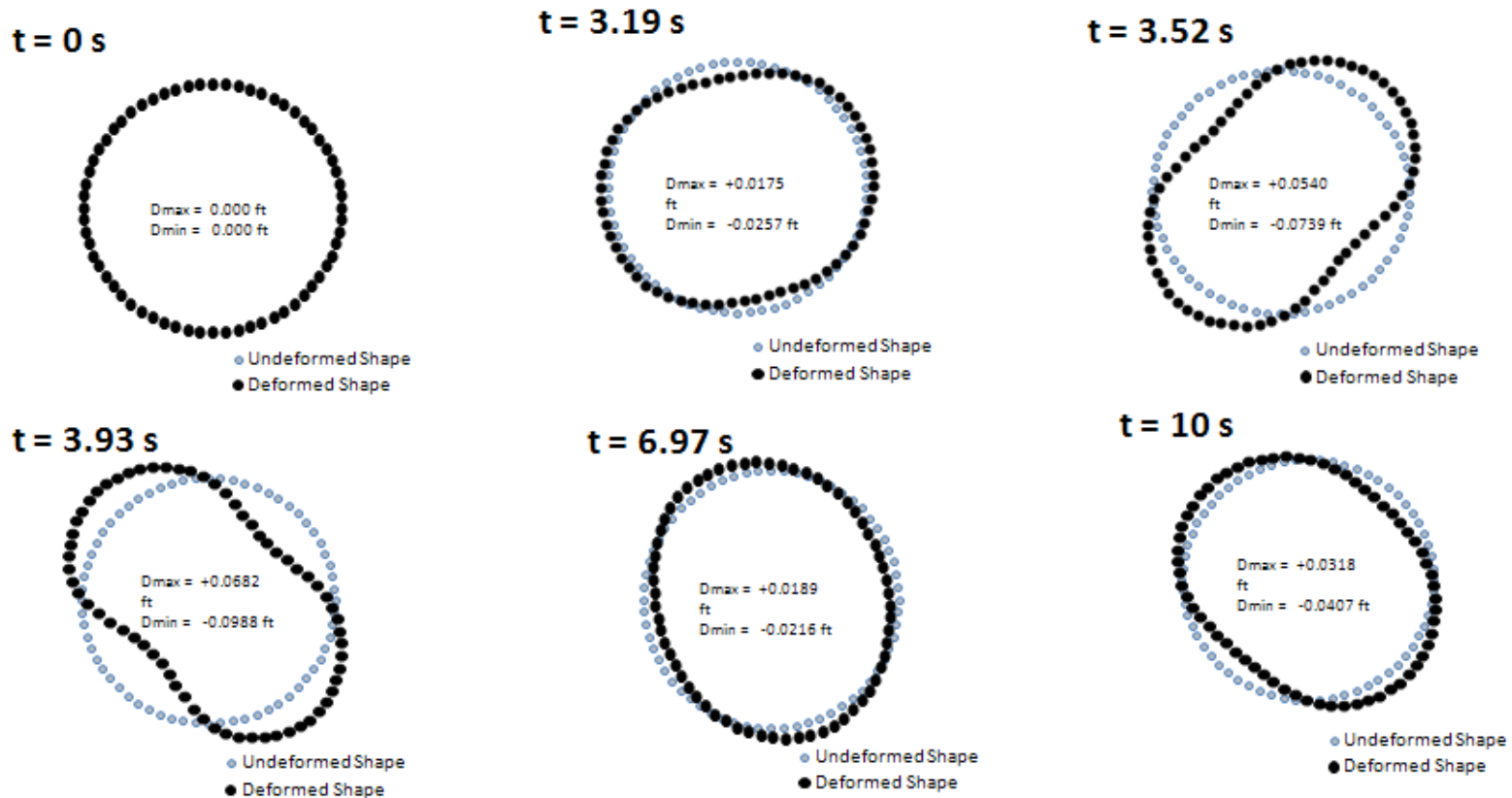
For cohesionless soil

$$F_{max} = \mu \sigma_n$$

$$\mu = \tan\left(\frac{2\phi}{3}\right)$$

Max forces for tangential springs

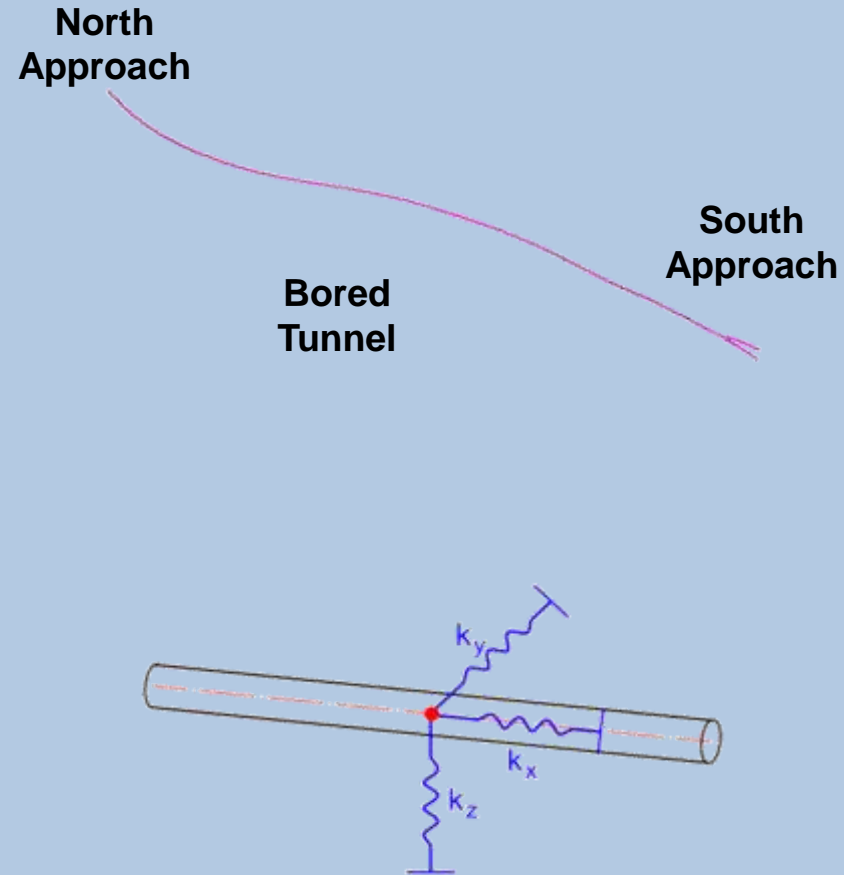
Transverse Seismic Results - Ovaling



- Determine the shape corresponding to maximum curvature
- Maximum ovaling is 1.5 inches from Rare Earthquake

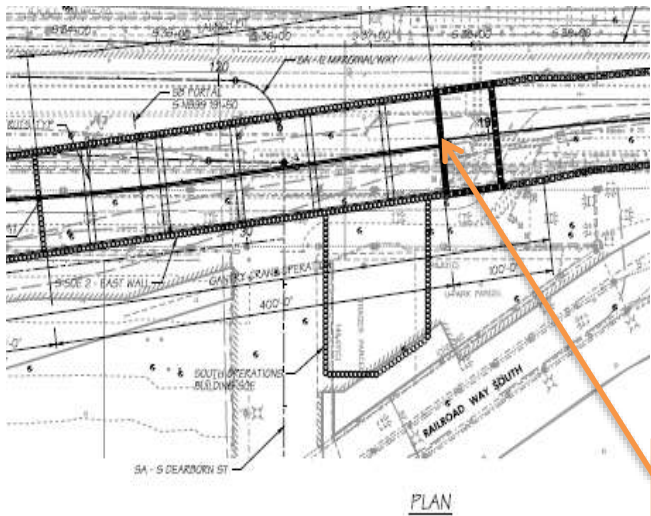
Longitudinal Analysis – Axial and Bending Deformations

3D Spine
Model



1. Study longitudinal behavior
2. Determine the forces at the transverse joints
3. Determine longitudinal deformation and curvature
4. Determine displacements at interfaces between liner and headwalls

Longitudinal Design – Joint Opening/Closing



Seismic
Joint

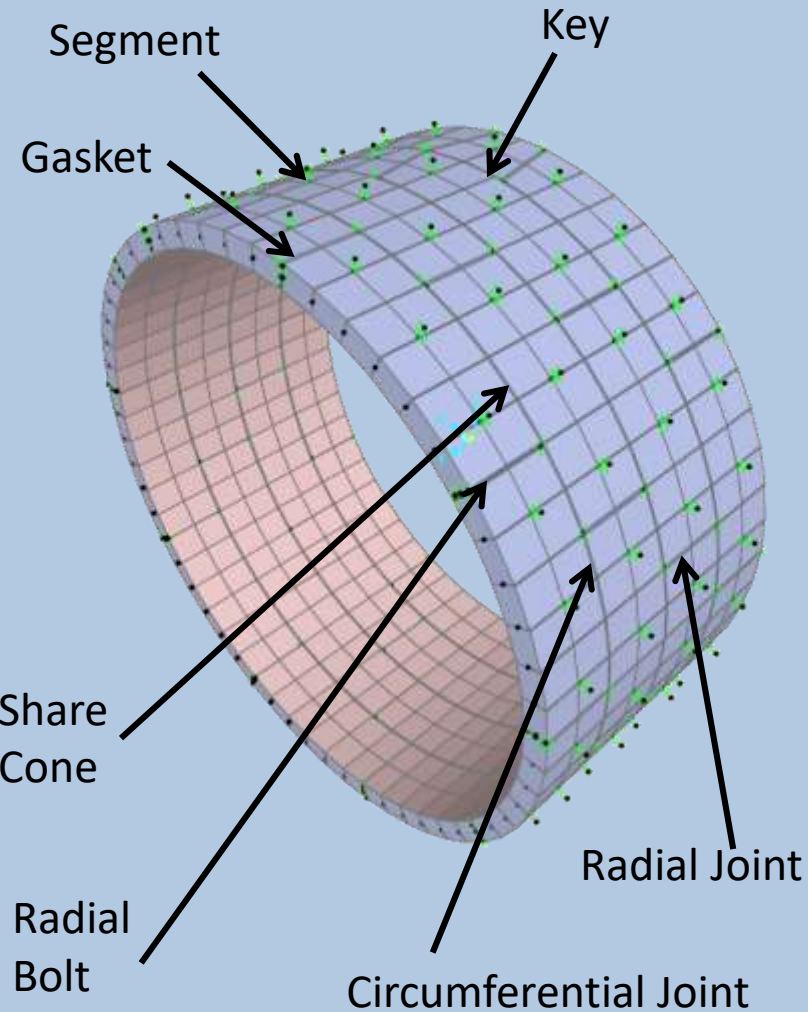
Results from the longitudinal analysis

Gap	Expected EQ	Rare EQ
Opening	0.1"	6.6"
Closing	0.2"	8.6"



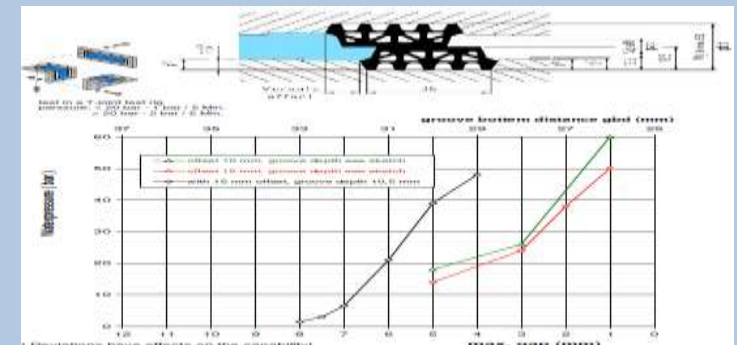
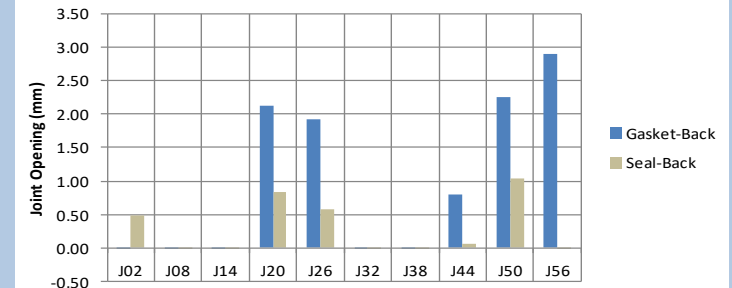
Seismic Performance – Gasket Design

3D Finite
Element
Model



1. Imposing transverse and longitudinal deformations on the 3D model
2. Determine the gap opening at the radial and circumferential joints

Gasket/Seal Opening of Ring 1 - Back



Tunnel Construction – Bertha in Launching Pit



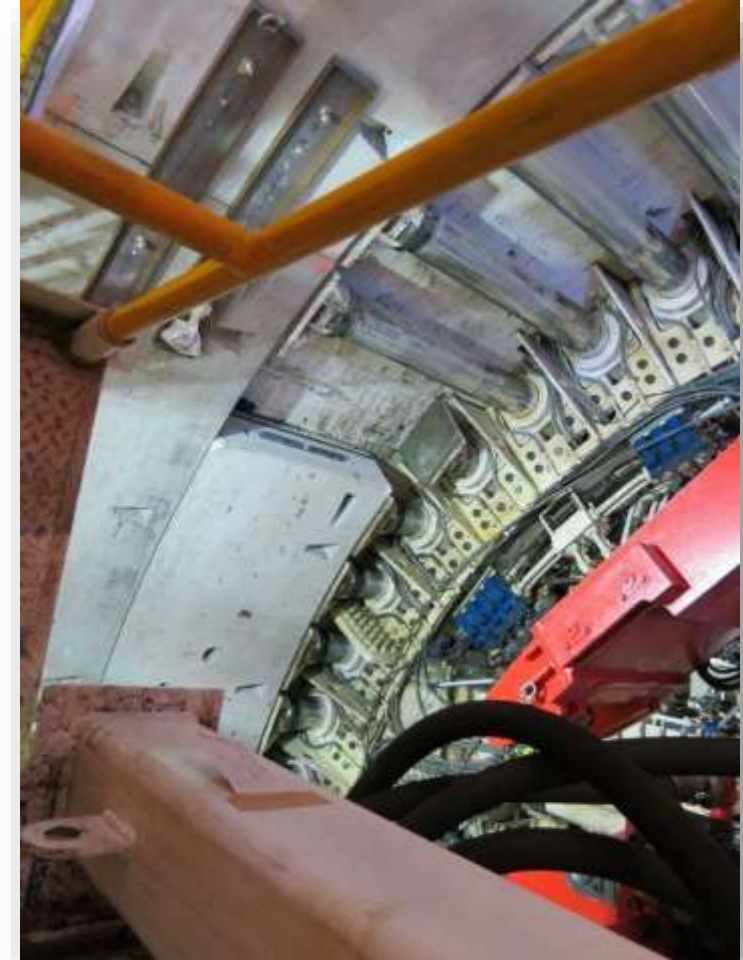
Bertha on its public opening – July 2013

Tunnel Construction



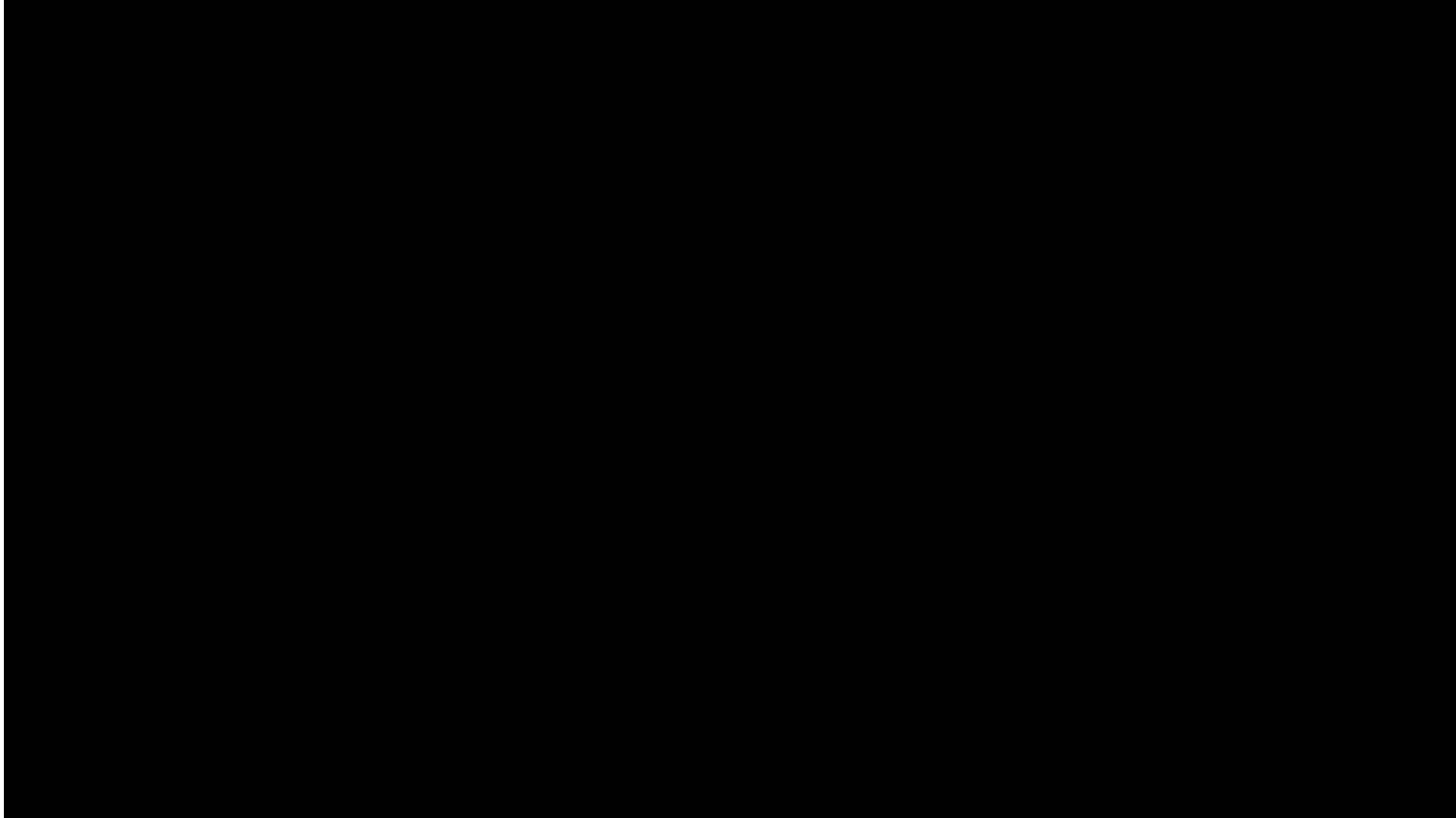
Leaving the launch pit behind – October 2013

Tunnel Construction – TBM

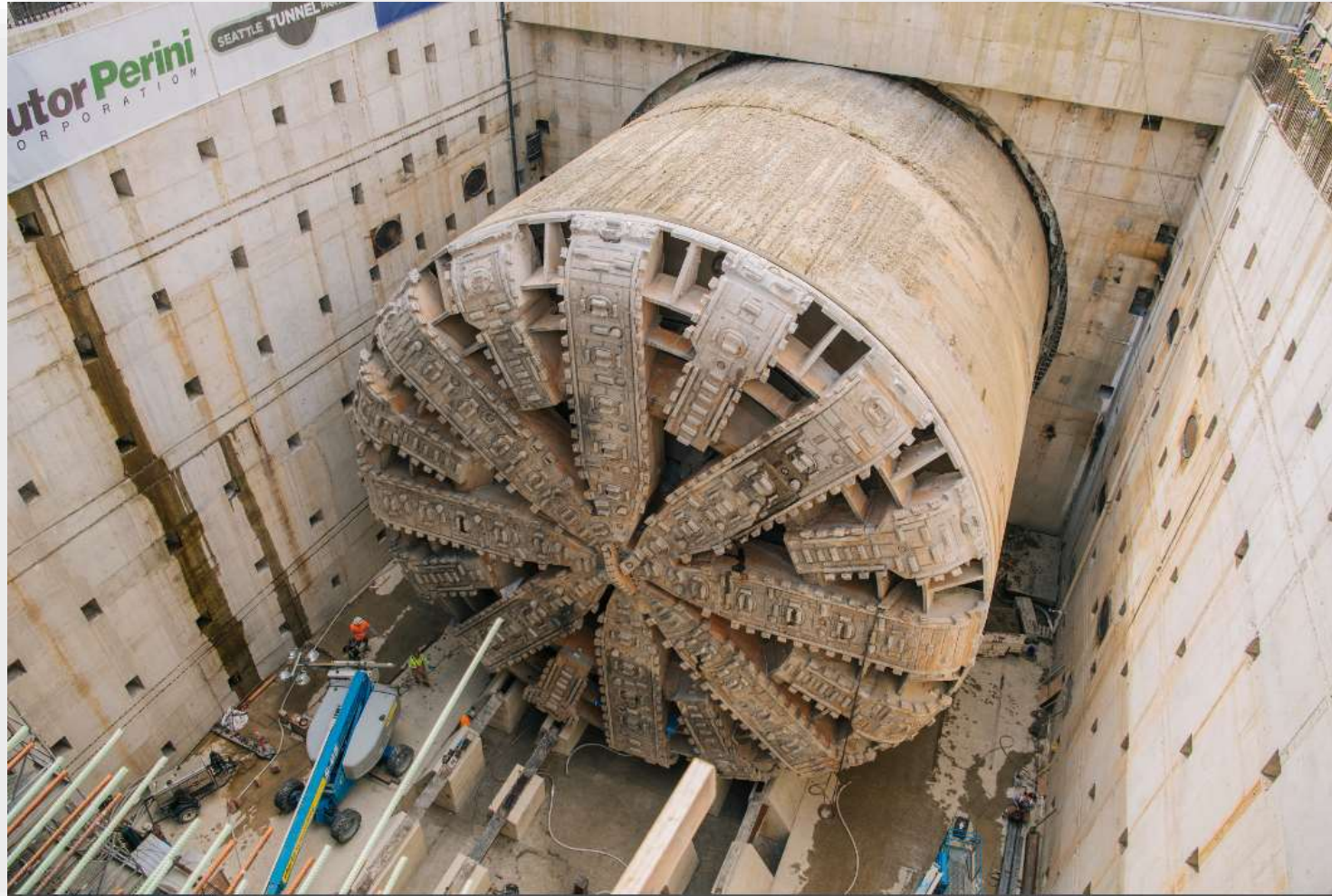


Tunneling Boring Machine control room and hydraulic jacks

TBM Breakthrough – Drone Footage



TBM Breakthrough



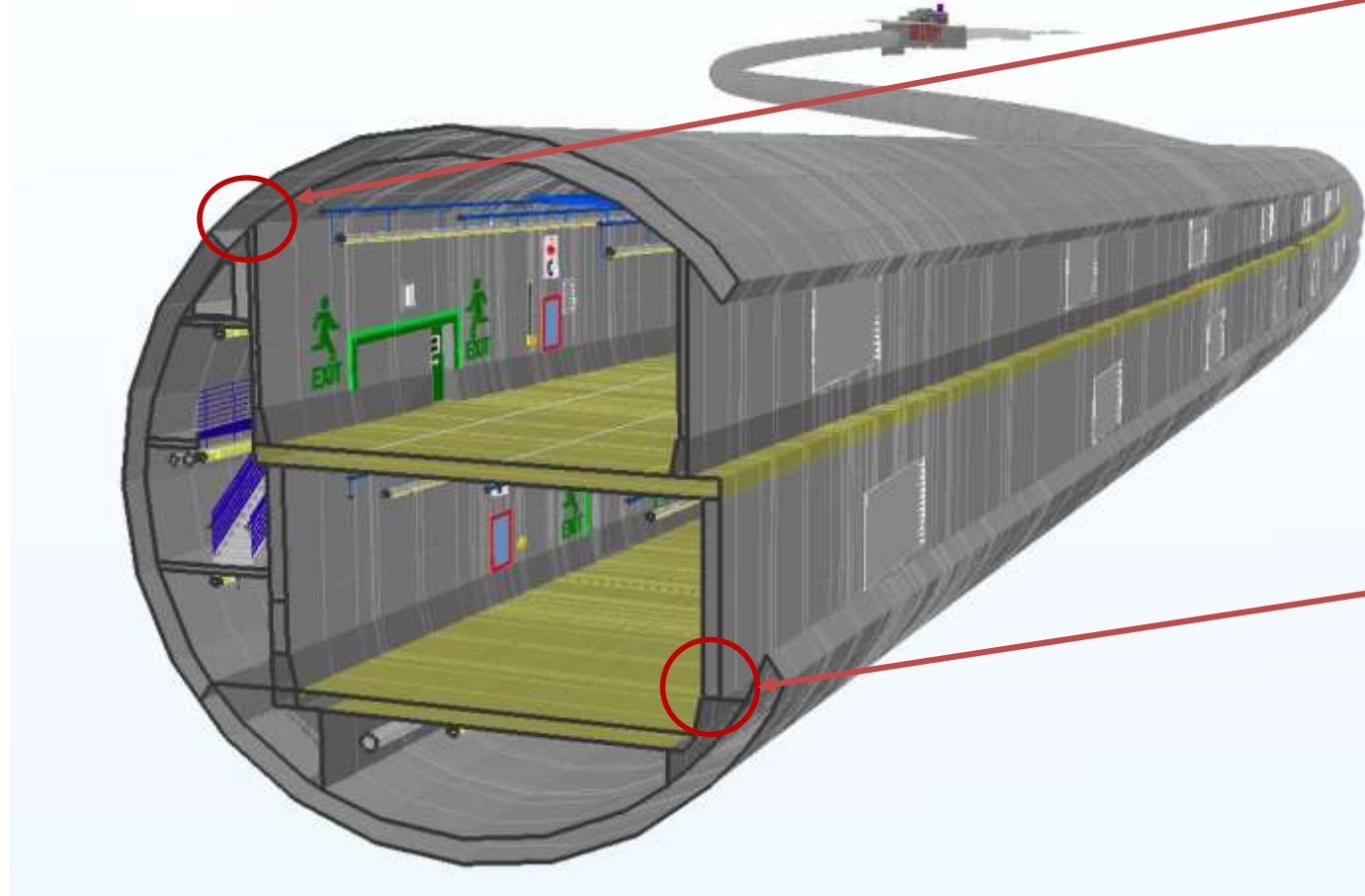
Breakthrough - April 2017

Completed Tunnel without Interior Structures

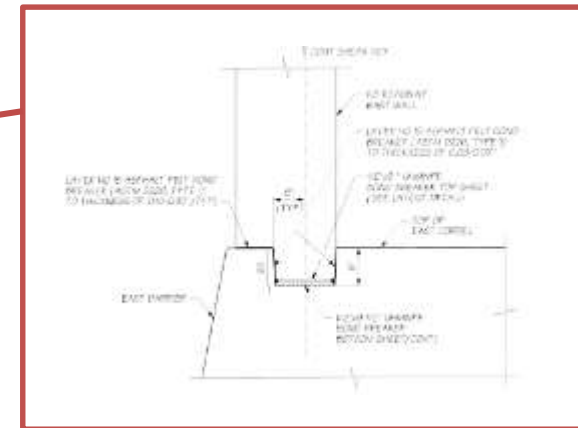
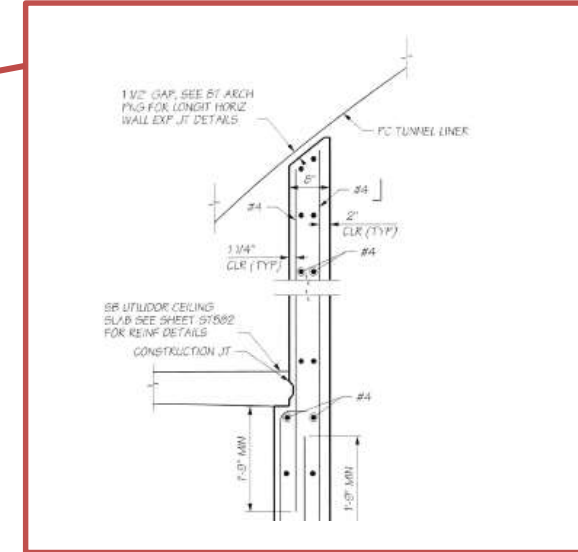


Tunnel interior with ventilation ducts – 2017

Tunnel Construction – Interior Structures

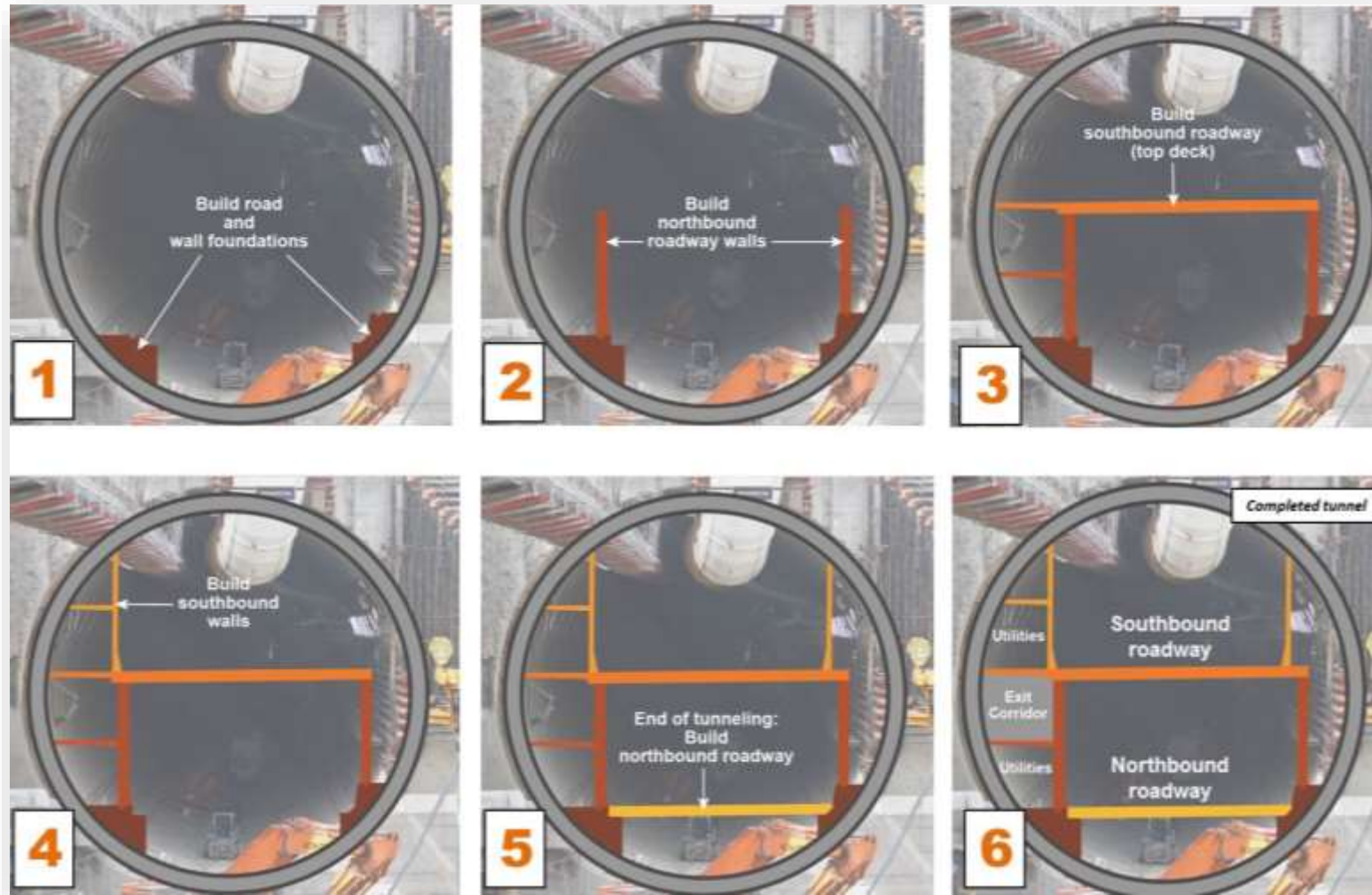


Freestanding Concrete Frame with 650' Units



Sample Liner -Structure Compatibility Details

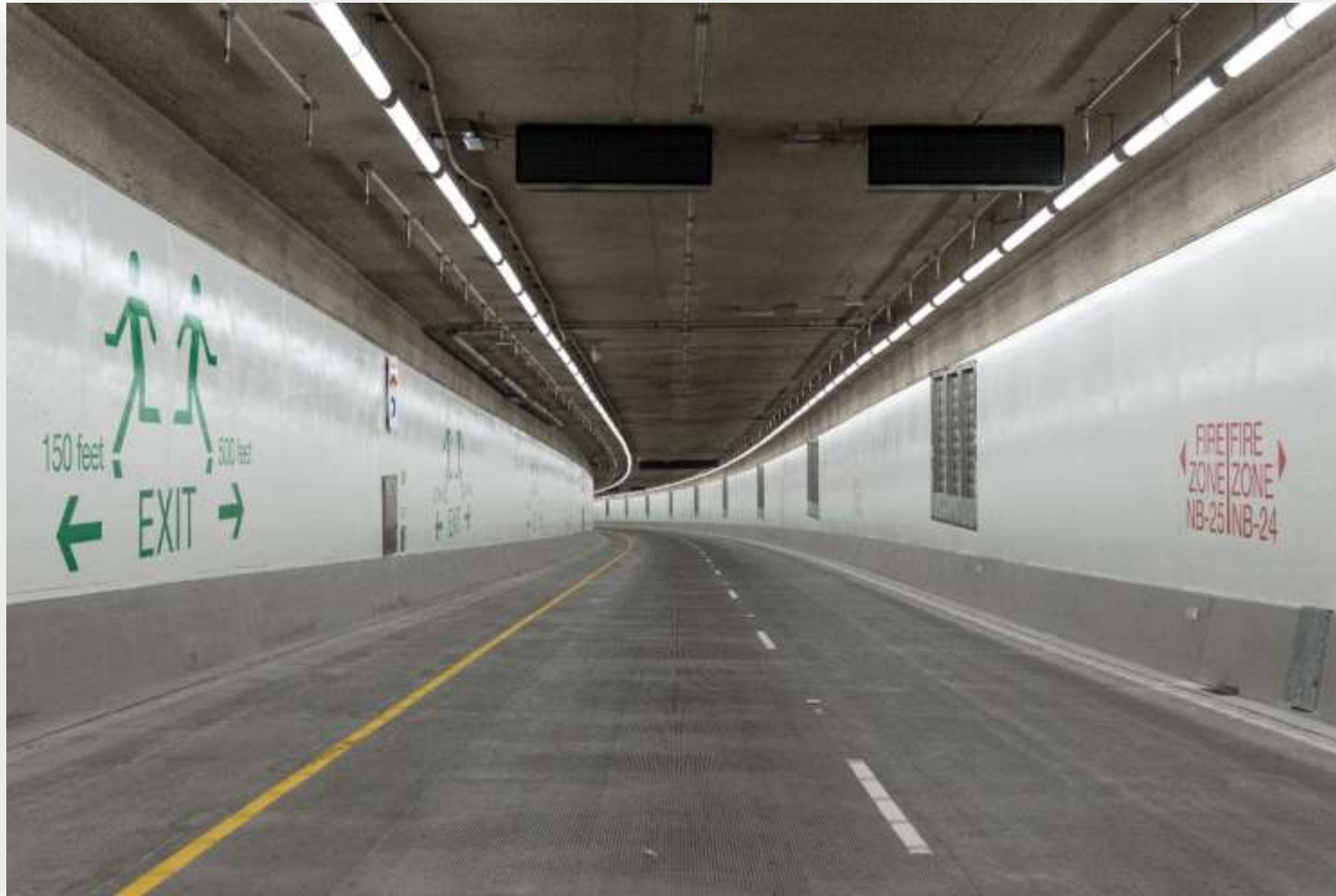
Constructing Interior Structures



Source: WSDOT

Construction sequence of the interior structures

Inside the Tunnel



Inside completed tunnel - April 2019

Future Look of Seattle Waterfront



Waterfront with Alaskan Way Viaduct



Artist's Rendering of Future Seattle Waterfront

Concluding Remarks

1. Seismic loads and deformations did not govern the size and reinforcement of the liner, but the size of the shear cones and the size of the gasket
2. Non-linear dynamic time history analysis can help demonstrate that the liner meets stringent seismic performance criteria
3. The two-step approach is an efficient and sufficiently accurate method for liner design
4. Temporary stress conditions such as TBM jacking and gasket compression are more critical for large diameter liner segments
5. There is room to go larger for TBM bored tunnels

Team Members

Owner:



DB Contractor:



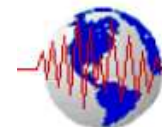
Joint Venture:



Designer:



Sub-Consultant:



Earth Mechanics Inc.
Geotechnical and Earthquake Engineering